

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/757,293	01/09/2001	Dale R. Setlak	51530

CONFIRMATION NO. 6803

## FORMALITIES LETTER



\*OC000000005831659\*

CHRISTOPHER F. REGAN  
Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A.  
P.O. Box 3791  
Orlando, FL 32802-3791

Date Mailed: 03/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$278.
 

<ul style="list-style-type: none"> <li>\$198 for 11 total claims over 20.</li> <li>\$80 for 1 independent claims over 3.</li> </ul>	04/18/2001 JADD01 00000014 09757293 01 FC:101 710.00 OP 02 FC:102 80.00 OP 03 FC:103 198.00 OP 04 FC:105 130.00 OP
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- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1118.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/18/2001 JADD01 00000014 09757293

710.00 OP  
80.00 OP  
198.00 OP  
130.00 OP  
01 FC:101  
02 FC:102  
04 FC:105

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Section  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
**SETLAK** )  
Serial No. **09/757,293** )  
Filing Date: **January 9, 2001** )  
For: **BIOMETRIC SECURITY SYSTEM FOR** )  
**COMPUTERS AND RELATED METHOD** )

RESPONSE TO NOTICE TO FILE MISSING PARTS

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed March 6, 2001, Applicants enclose the following:

1. Filing fee of \$988.00 for a large entity (fees  
calculated as shown below):

	(Col. 1)		(Col. 2)		LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE
BASIC FEE						\$ 710
TOTAL CLAIMS	31	-20	11		X 18	\$ 198
INDEP CLAIMS	4	- 3	1		X 80	\$ 80
*If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 988

2. Surcharge of \$130.00 for large entity.
3. Declaration and Power of Attorney.
4. Copy of "Notice to File Missing Parts of  
Application--Filing Date Granted" mailed March 6, 2001.
5. Transmittal of Formal Drawings.

In re Patent Application of:

**SETLAK**

Serial No. **09/757,293**

Filing Date: **January 9, 2001**

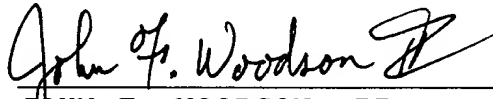
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6. Assignment to **Authentec, Inc.** together with \$40 recordal fee and cover page.

Checks in the amounts of \$1,118.00 to cover the filing fee and surcharge, and \$40.00 to cover the assignment recordal fee are enclosed.

If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. **01-0484**.

Respectfully submitted,



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JOHN F. WOODSON, II  
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and Gilchrist, P.A.  
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407-841-2330  
407-841-2343 fax  
Attorney for Applicant

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE, WASHINGTON, D.C. 20231, on this 12 day of April, 2001.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
SEFLAK )  
Serial No. 09/757,293 )  
Filing Date: January 9, 2001 )  
For: BIOMETRIC SECURITY SYSTEM FOR )  
COMPUTERS AND RELATED METHOD )  
\_\_\_\_\_ )

TRANSMITTAL OF FORMAL DRAWINGS

Director, U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Enclosed are three (3) sheets of formal drawings to  
be filed in the above-identified patent application.

Respectfully submitted,

JOHN F. WOODSON, II  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first class  
mail in an envelope addressed to: DIRECTOR, U.S. PATENT AND  
TRADEMARK OFFICE, WASHINGTON, D.C. 20231, on this 12 day of  
April, 2001.